

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Won Sun Shin et al.
Assignee: Amkor Technology, Inc.
Title: Semiconductor Package and Method for Fabricating the Same
Serial No.: 09/574,541 Filed: May 19, 2000
Examiner: Not Yet Assigned Group Art Unit: Not Yet Assigned
Docket No.: AB-975 US

Chandler, Arizona
September 5, 2000

ATTN: LICENSING AND REVIEW
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

**DECLARATION OF VINCENT DICAPRIO IN SUPPORT OF PETITION FOR
RETROACTIVE FOREIGN FILING LICENSE**

I, Vincent DiCaprio, declare as follows.

1. I am an employee of Amkor Technology, Inc. ("Amkor") of Chandler, Arizona.
2. At Amkor's facility in Chandler, D.S Chun and I developed some concepts for a semiconductor package and a method of making a semiconductor package. Mr. Chun and I worked with some engineers employed by Anam Semiconductor Inc. ("Anam") concerning those concepts. Anam is based in Korea. Amkor and Anam work together in designing and making semiconductor packages.
3. Subsequently, Mr. Chun and I initiated a process at Amkor for submitting a U.S. patent application regarding those concepts. Mr. Chun has since left the United States and joined another company.
4. In early November 1999, I met with our patent attorney, James Parsons, concerning the proposed U.S. patent application. On or about November 12, 1999, I communicated with an engineer at Aman to obtain further information. As a result, I received some diagrams from Anam that I understand were from a Korean patent application. The diagrams overlapped with the concepts that Mr. Chun and I intended to include in a U.S.

patent application. Prior to that time, I was not aware that any aspects of the concepts that Mr. Chun or I developed were included in any patent applications filed anywhere.

5. The subject matter of the accompanying U.S. patent application was developed as a joint effort between inventors located at Amkor in the U.S. and Anam in Korea. This subject matter involves packaging for semiconductor chips. This subject matter is not and never has been under a United States government secrecy order.

5. I further declare that all statements made herein of my own personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above referenced application or any patent issuing therefrom.

Date: 9/5/00



Vincent DiCaprio

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San Jose, California
September 6, 2000

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ASSISTANT COMMISSIONER FOR PATENTS
Washington, D. C. 20231

**DECLARATION OF TAE BOK JUNG IN SUPPORT OF
PETITION FOR RETROACTIVE FOREIGN FILING LICENSE**

I, Tae Bok Jung, declare as follows.

1. I am a citizen and resident of Korea. I am employed by Anam Semiconductor Inc. ("Anam") in Korea.
2. I was responsible for the filing of the following Korean patent applications with the Korean Industrial Property Office ("KIPO") on the dates listed below:

<u>Korean Application No.</u>	<u>Korean Filing Date</u>
1999-18244	May 20, 1999
1999-37925	September 7, 1999
1999-37928	September 7, 1999

3. The Korean patent applications include subject matter that was a result of a cooperative effort between two companies, Anam and Amkor Technology, Inc. ("Amkor") of Chandler, Arizona. Some of the inventors were Amkor personnel based in Arizona, and the other inventors were Anam personnel based in Korea.

4. At the time that the Korean patent applications were filed, I was not aware of Section 184 of Title 35 of United States Patent Law. I understand that this law provides that inventions made in the United States cannot be filed in a patent office in another country

unless a foreign filing license is obtained. Because I was not aware of this law, it was natural to me to file the Korean applications because employees of Anam located in Korea were involved in the invention jointly with Amkor employees based in the United States.

5. I further declare that all statements made herein of my own personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above referenced application or any patent issuing therefrom.

Date: Sep. 6, 2000

TJ 2000.9.6
Tae Bok Jung